



PATENT
Docket 128483

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Theodore Robert Grossman, et al:
Serial No.: 10/604,368 : Group Art Unit: 1762
Filed: July 15, 2003 : Examiner:

For: PROCESS FOR A BETA-PHASE NICKEL ALUMINIDE OVERLAY COATING

Hon. Commissioner of Patents & Trademarks
Washington, DC 20231

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to Mail Stop Non Fee Amendment Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Mary A. Harris
Signature

12/9/04
Date

INFORMATION DISCLOSURE STATEMENT

- ☒ 1. PTO-1449 [1.97(b)] - (within 3 months or prior to first Office Action)
- ☐ 2. Certification [1.97(c)] - (before final Office Action or before Notice of Allowance)
- ☐ 3. Fee Payment [1.97(c)] - (before final Office Action or before Notice of Allowance)
- ☐ 4. Petition, Certification & Petition Fee Payment [1.97]

The following are submitted in the above-identified application in compliance with 37 CFR §§1.97 and 1.98:

- ☒ 5. A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed.

This paper is submitted in accordance with:

- ☒ 6. 37 CFR §1.97(b) (within three (3) months of the application filing date or before a first Office Action on the merits).
- ☐ 7. 37 CFR §1.97(c) (before final Office Action or Notice of Allowance, whichever is earlier); and
 - ☐ 8. The required certification made in Item 11 below, or
 - ☐ 9. The \$230.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in Item 14 below.
- ☐ 10. 37 CFR §1.97(d) (before payment of issue fee); and
 - a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR

§1.17(i) is authorized in Item 14 below.

b) The required certification is made in Item 11 below.

☒ 11. Certification

☒ 12. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

☐ 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing of this Statement.

☒ 14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No.07-0865.

Respectfully submitted,



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December 7, 2004

DEC 13 2004

PTO/SB/08 (11-90)

INFORMATION DISCLOSURE
CITATION IN AN APPLICATIONAttorney Docket No.
128483Application No.
10/604,368

Submitted by:

Domenica N.S. Hartman

Applicant:

Theodore Robert Grossman, et al

Domenica N.S. Hartman, Reg. No. 32,701

Filing Date:
07/15/03Group Art No.
1762

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Date	Name	Class	Subclass	Filing Date if appropriate
	6,340,500	01/22/02	Spitsberg	427	250	

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Document Number	Date	Country	Class	Subclass	Translation Yes No
	GB 2 375 725 A	11/27/02	UK			Yes

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	"The effect of grit blasting on the oxidation behavior of a platinum-modified nickel-aluminide coating"; METALLURGICAL AND MATERIALS TRANSACTIONS A (PHYSICAL METALLURGY AND MATERIALS SCIENCE) MINERALS, METALS & MATER. SOC. & ASM INT USA, vol. 32A, no. 6, 1 June 2001 (2001-06-01), pages 1467-1478, XP008036870; ISSN: 1073-5623
Examiner	Date Considered

*Examiner: Initial if reference considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.